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9 ANTHONY C. LUSTIG

10  
11 **UNITED STATES DISTRICT COURT**  
12  
13 **NORTHERN DISTRICT OF CALIFORNIA**

14 ANTHONY C. LUSTIG,

15 Plaintiff,

16 v.

17 AZGEN SCIENTIFIC HOLDINGS PLC,

18 Defendant.

CASE NO. 18-CV-07503-HSG

**PLAINTIFF'S CERTIFICATION OF  
INTERESTED ENTITIES OR  
PERSONS**

19 Pursuant to Civil L.R. 3-15, the undersigned certifies that the following listed persons (i)  
20 have a financial interest in the subject matter in controversy or in a party to the proceeding, or (ii)  
21 have a non-financial interest in that subject matter or in a party that could be substantially affected  
22 by the outcome of this proceeding.

23 Mehamood Hosein is believed to be a creditor of defendant.

24 The following persons are believed to be shareholders of defendant:

25 Paul Gray

26 Luis Siemens

27 Linda Carter

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James Cain

Dick O’Leary

Respectfully Submitted,

BANCHERO LAW FIRM LLP

By: \_\_\_\_\_/s/\_\_\_\_\_  
E. Jeffrey Banchero

Attorneys for Plaintiff  
Anthony C. Lustig

Proof of Service

At the time of service I was over 18 years old and not a party to this action. My business address is 601 California Street, Suite 1300, San Francisco, California 94108. On April 9, 2019, I served a true copy of the following documents:

**PLAINTIFF'S INITIAL DISCLOSURES PURSUANT TO RULE 26 (a)(1)(A) OF THE FED. R. CIV. P.**

**PLAINTIFF'S SEPARATE CASE MANAGEMENT STATEMENT; DECLARATION OF E. JEFFREY BANCHERO**

**PLAINTIFF'S CERTIFICATION OF INTERESTED ENTITIES OR PERSONS**

I served the documents, as follows:


**X** (BY ELECTRONIC TRANSMISSION) by transmitting the foregoing document(s) by e-mail the party(ies) identified below by using the email address(es) as indicated

James S. Brown  
Anjali Kulkarni  
Duane Morris LLP  
One Market Plaza, Suite 2200  
San Francisco, CA 94105-1127

JamesBrown@duanemorris.com  
akulkarni@duanemorris.com

For forwarding to AzGen Scientific Holdings, pursuant to court order dated March 22, 2019.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed in San Francisco, California on April 9, 2019.

  
Mary Bryan